



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant(s): Sprinkle, David L.)
)
Serial No.: 10/040,105) Group Art Unit: 3616
)
Filed: 10/19/2001) Examiner: Toan C To
)
For: Speed Control for Utility Vehicle)
Operable From Rearward-Facing Seat)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

Transmitted herewith in response to the NOTICE OF NON-COMPLIANT AMENDMENT
mailed April 7, 2004 are:

- ☒ Copy of the Notice of Non-Compliant Amendment.
- ☒ Amendment B (13 pages)
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §1.15-§1.17, or credit any overpayment, to Deposit Account No. 50-2970.

Applicant submits that a complete, compliant Amendment was mailed on March 16, 2004.
A copy of the Amendment B including the returned postcard is attached.

Respectfully submitted,

By:

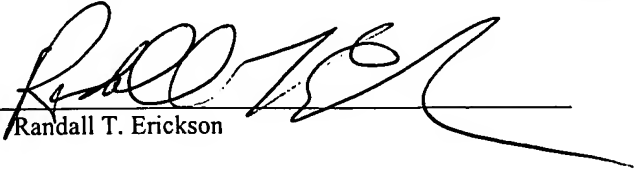

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6270P0010US

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on ~~April~~ ^{May} 3, 2004

By:


Randall T. Erickson



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. Box 1450
ALEXANDRIA, VA 22313-1450
www.uspto.gov



Paper No.0

Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 04/0104 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on June 30, 2003 (see *68 Fed. Reg. 38611*, Jun. 30, 2003). In order for the amendment document to be compliant, correction of the following item(s) is required. **Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted.** 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
 - ☐ B. New paragraph(s) should not be underlined.
 - ☐ C. Other _____
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
 - ☐ B. Other _____
- ☐ 3. Amendments to the drawings: _____
- ☒ 4. Amendments to the claims:
- ☒ A. A complete listing of all of the claims is not present.
 - ☐ B. The listing of claims does not include the text of all claims (including withdrawn claims)
 - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified.
 - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
 - ☒ E. Other: missing remarks

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit is **not extendable**.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION (including a submission for an RCE)**, and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

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If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. **The period for response to a final rejection continues to run from the date set in the final rejection**, and is not affected by the non-compliant status of the amendment.

Ann E. Carrington
Legal Instruments Examiner (LIE)

703-308-0575
Telephone No.



In the Patent Application of: Sprinkle et al.

Serial Number : 10/040,105

Filed: 10/19/2001

Examiner: Toan C. To

Group: 3616

For: Speed Control for Utility Vehicle Operable from Rearward-Facing Seat

Attorney Docket No.: 6270P0010US



The following items are transmitted herewith in connection with the above-captioned patent application:

Petition For Dismissal Extension

Check in the amount of \$196.00;

Response to Office Action Transmittal Letter

Amendment B

Copy of IDS, postcard and references

Transmittal of Formal Drawings

Figures 1- 16 (13 pages)

Self-Addressed Stamped Postcard

EV370524189US

March 16, 2004 via Express Mail